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08-24-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53 (b))</i>		Attorney Docket No.	71712 [50P4131]
		First Inventor	Yang, Hong
		Title	A SYSTEM AND METHOD FOR PROVIDING PROGRAM STATUS INFORMATION
		Express Mail Label No.	EL591659791US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
3. <input checked="" type="checkbox"/> Specification <i>[Total Pages</i> <input type="text" value="22"/>]		a. <input type="checkbox"/> Computer Readable Form (CRF)
		b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper
		c. <input type="checkbox"/> Statements verifying identity of above copies
ACCOMPANYING APPLICATION PARTS		
4. <input checked="" type="checkbox"/> Drawing(s)(35 U.S.C.113) <i>[Total Sheets</i> <input type="text" value="10"/>]		
5. <input type="checkbox"/> Oath or Declaration <i>[Total Pages</i> <input type="text" value="3"/>]		
a. <input checked="" type="checkbox"/> Newly executed (original or copy)		
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))		
i. <input type="checkbox"/> DELETION OF INVENTOR(S) signed statement attached deleting inventor(s) named in the prior application, see 37 1.63(d)(2) and 1.33(b).		
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))		
10. <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input checked="" type="checkbox"/> Power of Attorney		
11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>		
12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations		
13. <input type="checkbox"/> Preliminary Amendment		
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>		
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>		
16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.		
17. <input checked="" type="checkbox"/> Other: Power of Attorney or Authorization of Agent; Application cover sheet.		

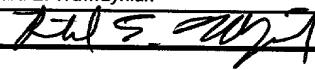
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

Continuation Division Continuation-in-part (CIP) of prior application No.: /

Prior application information: Examiner _____ Group Art Unit: _____
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is
considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	 2 2 2 4 2	or <input type="checkbox"/> Correspondence address below
(Insert Customer No. or Attach bar code label here)		

Name	Richard E. Wawrzyniak				
Address	120 S. La Salle Street, Suite 1600				
City	Chicago	State	IL	Zip Code	60603
Country	USA	Telephone	858-552-1311	Fax	858-552-0095
Name (Print/Type)	Richard E. Wawrzyniak	Registration No. (Attorney/Agent)	36048		
Signature		Date	8/22/01		

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FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.
"Express Mail" Label No. EL591659791US

TOTAL AMOUNT OF PAYMENT \$750.

Complete if Known

Application Number	TBD
Filing Date	Herewith
First Named Inventor	Yang, Hong
Examiner Name	
Group Art Unit	
Attorney Docket No.	71712 [50P4131]

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 06-1135

Deposit Account Name Fitch, Even, Tabin & Flannery

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$710.
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) \$710.

2. EXTRA CLAIM FEES

Total Claims	20	-20**=	0	Extra Claims	Fee from below	Fee Paid
Independent Claims	2	-3**=	0	X	\$18.	= 0
Multiple Dependent				X	\$80.	= 0

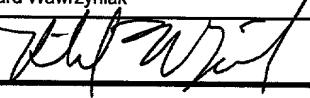
Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
103	18	203	9			Claims in excess of 20
102	80	202	40			Independent claims in excess of 3
104	270	204	135			Multiple dependent claim, if not paid
109	80	209	40			**Reissue independent claims over original patent
110	18	210	9			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$710.

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES	Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for ex parte reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	390	216	195	Extension for reply within second month
117	890	217	445	Extension for reply within third month
118	1,390	218	695	Extension for reply within fourth month
128	1,890	228	945	Extension for reply within fifth month
119	310	219	155	Notice of Appeal
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,240	241	620	Petition to revive - unintentional
142	1,240	242	620	Utility issue fee (or reissue)
143	440	243	220	Design issue fee
144	600	244	300	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Processing fee under 37 CFR 1.17(q)
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))
179	710	279	355	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application
Other fee (specify) _____				
*Reduced by Basic Filing Fee Paid				
SUBTOTAL (3) \$40.				

SUBMITTED BY

Name (Print/Type)	Richard Wawrzyniak	Registration No. (Attorney/Agent)	36048	Telephone	858-552-1311
Signature				Date	8/22/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization of PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yang, et al.

Serial No.: TBD

Filed: Herewith

For: A SYSTEM AND METHOD FOR
PROVIDING PROGRAM STATUS
INFORMATION

Group Art

Unit:

Examiner:

CERTIFICATE OF MAILING

I hereby certify that this paper is
being deposited with the United States
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an envelope addressed to:
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Washington, D.C. 20231, on this date.

Express Mail Label No.

EL591659791US

Date

Registration No. _____
Attorney for Applicant(s)

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Sony Corporation, a Japanese Corporation, certifies that it is the assignee of the entire
right, title and interest in the patent application identified above by virtue of either:

A. An assignment from the inventor(s), of the patent application identified above. The
assignment was recorded in the Patent and Trademark Office at Reel , Frame , or for
which a copy thereof is attached.

OR

B. A chain of title from the inventor(s), of the patent application identified above, to the
current assignee as shown below:

1. From: To:

The document was recorded in the Patent and Trademark Office at
Reel , Frame , or for which a copy thereof is attached.

2. From: To:

The document was recorded in the Patent and Trademark Office at
Reel , Frame C, or for which a copy thereof is attached.

[X] Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and , to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: July 31, 2001

Respectfully,


Frederick J. Zustak
Reg. No. 36,728

ADDRESS ALL CORRESPONDENCE TO:

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